

MAR 15 2002



| | | |
|--|----------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/024,999 | CASE NO. 659/792 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 18, 2001 | GROUP ART UNIT 1771 |
| (use several sheets if necessary) | APPLICANT(S): Daniel Hoo et al. | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|------------|----------------------------|--------------------|-------------|
| A1 | 257,761 | 05/09/1882 | J. H. Ridgway | | |
| A2 | 258,895 | 06/06/1882 | J. & J. M. Crawshaw | | |
| A3 | 273,198 | 02/27/1883 | H. W. Vaughan | | |
| A4 | 395,645 | 01/01/1889 | J. B. Anderson | | |
| A5 | 1,195,888 | 08/22/1916 | H. M. Wheelwright | | |
| A6 | 1,235,183 | 07/31/1917 | A. Butterworth | | |
| A7 | 1,302,352 | 04/29/1919 | H. M. French | | |
| A8 | 1,316,782 | 09/23/1919 | D. D. Frothingham et al. | | |
| A9 | 1,558,271 | 10/20/1925 | L. S. Newell | | |
| A10 | 1,901,080 | 03/14/1933 | D. B. Bradner | | |
| A11 | 1,956,561 | 05/01/1934 | F. Coates | | |
| A12 | 2,025,375 | 12/24/1935 | J. A. Cameron et al. | | |
| A13 | 2,054,113 | 09/15/1936 | Abrahms et al. | | |
| A14 | 2,059,881 | 11/03/1936 | G. T. Lane | | |
| A15 | 2,066,780 | 01/05/1937 | D. S. Holt | | |
| A16 | 2,119,480 | 05/31/1938 | P. W. Codwise | | |
| A17 | 2,186,957 | 01/16/1940 | William R. Collings et al. | | |
| A18 | 2,251,295 | 08/05/1941 | H. A. Sheesley | | |
| A19 | 2,257,113 | 09/30/1941 | W. George | | |
| A20 | 2,321,938 | 06/15/1943 | R. G. Quinn | | |
| A21 | 2,333,629 | 11/09/1943 | W. E. Beadle, Sr. | | |
| A22 | 2,361,082 | 10/24/1944 | N. J. Brown | | |
| A23 | 2,556,262 | 06/12/1951 | Harry W. Faeber | | |
| A24 | 2,562,457 | 07/31/1951 | R. S. E. Hannay et al. | | |
| A25 | 3,019,130 | 01/30/1962 | L. Hornbostel | | |
| A26 | 3,066,046 | 11/27/1962 | R. R. Walton | | |
| A27 | 3,097,968 | 07/16/1963 | C. F. Schaefer | | |
| A28 | 3,668,905 | 06/13/1972 | Schlunke | | |
| A29 | 3,766,756 | 10/23/1973 | Farrar | | |
| A30 | 3,776,482 | 12/04/1973 | Mras | | |
| A31 | 3,776,763 | 12/04/1973 | Ross | | |
| A32 | 3,797,281 | 03/19/1974 | Norton | | |
| A33 | 3,830,143 | 08/20/1974 | Dowd, Jr. | | |

| | |
|-----------------------|-----------------------------|
| EXAMINER <i>J. L.</i> | DATE CONSIDERED <i>1/03</i> |
|-----------------------|-----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
 Draw line through citation if not in conformance and not considered. Include copy of this form with next
 communication to applicant.

| | | |
|--|----------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/024,999 | CASE NO. 659/792 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 18, 2001 | GROUP ART UNIT 1771 |
| (use several sheets if necessary) | APPLICANT(S): Daniel Hoo et al. | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|--------------------|-----------|------------|--------------------|----------------|
| X | A34 | 3,844,813 | 10/29/1974 | Leonard et al. | |
| | A35 | 3,853,279 | 12/10/1974 | Gerstein | |
| | A36 | 3,856,226 | 12/24/1974 | Dowd, Jr. | |
| | A37 | 3,873,025 | 03/25/1975 | Qvarnstrom | |
| | A38 | 3,906,893 | 09/23/1975 | Scales | |
| | A39 | 3,949,035 | 04/06/1976 | Dunning et al. | |
| | A40 | 3,980,043 | 09/14/1976 | Pomper | |
| | A41 | 4,045,598 | 08/30/1977 | Henson | MAR 20 2002 |
| | A42 | 4,108,110 | 08/22/1978 | Berry | TC 1700 |
| | A43 | 4,213,218 | 07/22/1980 | Winch | |
| | A44 | 4,247,362 | 01/27/1981 | Williams | |
| | A45 | 4,257,343 | 03/24/1981 | Kullander | |
| | A46 | 4,259,924 | 04/07/1981 | Smith | |
| | A47 | 4,338,876 | 07/13/1982 | Norton | |
| | A48 | 4,447,924 | 05/15/1984 | Bolton et al. | |
| | A49 | 4,485,980 | 12/04/1984 | Gorner | |
| | A50 | 4,487,377 | 12/11/1984 | Perini | |
| | A51 | 4,556,454 | 12/03/1985 | Dahl et al. | |
| | A52 | 4,565,155 | 01/21/1986 | Koski | |
| | A53 | 4,583,698 | 04/22/1986 | Nistri et al. | |
| | A54 | 4,590,099 | 05/20/1986 | Reith | |
| | A55 | 4,601,256 | 07/22/1986 | Poterala | |
| | A56 | 4,649,695 | 03/17/1987 | Crouch et al. | |
| | A57 | 4,695,005 | 09/22/1987 | Gietman, Jr. | |
| | A58 | 4,723,724 | 02/09/1988 | Bradley | |
| | A59 | 4,790,491 | 12/13/1988 | Mundus et al. | |
| | A60 | 4,803,194 | 02/07/1989 | Bracewell | |
| | A61 | 4,807,825 | 02/28/1989 | Eisner et al. | |
| | A62 | 4,856,725 | 08/15/1989 | Bradley | |
| | A63 | 4,929,474 | 05/29/1990 | Avni et al. | |
| | A64 | 4,962,897 | 10/16/1990 | Bradley | |
| | A65 | 5,076,424 | 12/31/1991 | Nakamura | |
| | A66 | 5,104,055 | 04/14/1992 | Buxton | |
| | A67 | 5,137,225 | 08/11/1992 | Biagiotti | |
| ✓ | A68 | 5,152,121 | 10/06/1992 | Nakamura | ✓ |

| | |
|----------------------|-----------------------------|
| EXAMINER <i>J.S.</i> | DATE CONSIDERED <i>1/03</i> |
|----------------------|-----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|---|----------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/024,999 | CASE NO. 659/792 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 18, 2001 | GROUP ART UNIT 1771 |
| (use several sheets if necessary) | APPLICANT(S): Daniel Hoo et al. | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|--------------|------------|-------------------|-------------|
| ✓ | A69 | 5,152,471 | 10/06/1992 | Goerner | |
| | A70 | 5,201,164 | 04/13/1993 | Kaufman | |
| | A71 | 5,243,841 | 09/14/1993 | Fleissner | |
| | A72 | 5,271,575 | 12/21/1993 | Weinert | |
| | A73 | 5,275,348 | 01/04/1994 | Looser | |
| | A74 | 5,304,391 | 04/19/1994 | Rantanen | |
| | A75 | 5,314,119 | 05/24/1994 | Watt | |
| | A76 | 5,389,148 | 02/14/1995 | Matsunaga | |
| | A77 | 5,401,315 | 03/28/1995 | Salo et al. | |
| | A78 | 5,402,960 | 04/04/1995 | Oliver et al. | |
| | A79 | 5,478,027 | 12/26/1995 | Alexander, III | |
| | A80 | 5,501,775 | 03/26/1996 | Kotitschke et al. | |
| | A81 | 5,509,161 | 04/23/1996 | Neveu et al. | |
| | A82 | 5,527,255 | 06/18/1996 | Mansfield | |
| | A83 | 5,538,199 | 07/23/1996 | Biagiotti | |
| | A84 | 5,542,622 | 08/06/1996 | Biagiotti | |
| | A85 | 5,545,295 | 08/13/1996 | Fujita et al. | |
| | A86 | 5,578,124 | 11/26/1996 | Cleveland et al. | |
| | A87 | 5,603,467 | 02/18/1997 | Perini et al. | |
| | A88 | 5,639,046 | 06/17/1997 | Biagiotti | |
| | A89 | 5,660,349 | 08/26/1997 | Miller et al. | |
| | A90 | 5,690,296 | 11/25/1997 | Biagiotti | |
| | A91 | 5,759,339 | 06/02/1998 | Hartman | |
| | A92 | 5,839,680 | 11/24/1998 | Biagiotti | |
| | A93 | 5,848,756 | 12/15/1998 | Sollinger et al. | |
| | A94 | 5,913,905 | 06/22/1999 | Fleissner | |
| | A95 | 5,958,187 | 09/28/1999 | Bhat et al. | |
| | A96 | 6,140,551 | 10/31/2000 | Niemeyer et al. | |
| ✓ | A97 | 6,228,216 B1 | 05/08/2001 | Lindsay et al. | |
| ✓ | A98 | 6,248,211 B1 | 06/19/2001 | Jennings et al. | ✓ |

| | |
|---------------------|-----------------------------|
| EXAMINER <i>ZLW</i> | DATE CONSIDERED <i>1/03</i> |
|---------------------|-----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|--|----------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/024,999 | CASE NO. 659/792 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 18, 2001 | GROUP ART UNIT 1771 |
| (use several sheets if necessary) | APPLICANT(S): Daniel Hoo et al. | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES | TRANSLATION NO |
|------------------|----------------------|------------|---------|-----------------|-----------------|----------------|
| X | A99 EP 0 014 520 A1 | 08/20/1980 | EPO | | | |
| | A100 EP 0 014 520 B1 | 08/20/1980 | EPO | | | |
| | A101 EP 0 026 091 A1 | 04/01/1981 | EPO | | | |
| | A102 EP 0 026 091 B1 | 04/01/1981 | EPO | | | |
| | A103 EP 0 641 885 A1 | 03/08/1995 | EPO | | | |
| | A104 EP 0 895 956 A1 | 02/10/1999 | EPO | | | |
| | A105 JP 2-91300 | 03/30/1990 | Japan | | | |
| | A106 JP 5-209395 | 08/20/1993 | Japan | | | |
| | A107 JP 6-306793 | 11/01/1994 | Japan | | | |
| | A108 WO 98/03713 | 01/29/1998 | WIPO | | | |
| | A109 WO 99/29958 | 06/17/1999 | WIPO | | | |
| | A110 WO 99/33623 | 07/08/1999 | WIPO | | | |
| | A111 WO 01/40090 A2 | 06/07/2001 | WIPO | Abstract | | |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|------------------|--|--|
| X | A112 | SINCRO Brochure, FABIO PERINI, S.p.A., 9 pages |

| | |
|----------------------|-----------------------------|
| EXAMINER <i>L.S.</i> | DATE CONSIDERED <i>1/03</i> |
|----------------------|-----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | |
|--|----------------------------------|------------------------|
| FORM PTO-1449 | SERIAL NO. 10/024,999 | CASE NO. 659/792 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE December 18, 2001 | GROUP ART UNIT 1771 |
| (use several sheets if necessary) | APPLICANT(S): Daniel Hoo et al. | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENT | | | | | | |
|-------------------------|----|--|------------|---------|-----------------|-----------------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
| <i>RL</i> | B3 | EP 0 256 950 A1 | 02/24/1988 | Europe | — | — |
| <i>✓</i> | B4 | WO 01/82986 A2 | 11/08/2001 | WIPO | — | — |
| | B | | | | | |
| | B | | | | | |
| | B | | | | | |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |
|---------------------|--|
| B5 | Copy of International Search Report from corresponding PCT application No. PCT/US01/20216, dated 10/07/02, 3 pages. |
| B6 | Copy of Partial International Search Report from corresponding PCT application No. PCT/US01/21030, dated 10/28/02, 3 pages. |
| B | |
| B | |
| B | |
| B | |

| | |
|---------------------|-----------------------------|
| EXAMINER <i>Jen</i> | DATE CONSIDERED <u>1/03</u> |
|---------------------|-----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.